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Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents

Attn: Examiner Not Yet Assigned

Patent Examining Corps

Facsimile Center

Alexandria, VA 22313-1450

FROM:

George H. Gates

OUR REF.: TELEPHONE: G&C 30794.104-US-WO

(310) 642-4146

Total pages, including cover letter: 22

PTO FAX NUMBER: 571-273-8300

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Title of Document Transmitted:	TRANSMITTAL DOCUMENTS (2); SUPPLEMENTAL IDS; PTO-1440 AND REFERENCES
Applicant	Michael D. Craven et al.
Serial No.:	10/582,390
Filed:	June 9, 2006
Group Art Unit:	Not Yet Assigned
Title:	NON-POLAR (ALB,In,Ga)N QUANTUM WELLS
Our Ref. No.:	G&C 30794.104-US-WO

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Signature

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Applicant:

Michael D. Craven et al.

FROM-Gates & Cooper LLP

Examiner:

Not Yet Assigned

Serial No.:

03:15PM

10/582,390

Group Art Unit:

Not Yet Assigned

Filed:

10-11-2006

June 9, 2006

Docket:

G&C 30794.104-US-WO

T-171

Title:

NON-POLAR (AL,B,IN,GA)N QUANTUM WELLS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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Reg. No.: 33,500

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Applicant:

Michael D. Craven et al.

FROM-Gates & Cooper LLP

Examiner:

Not Yet Assigned

T-171

P.003

Serial No.:

10/582,390

Group Art Unit:

Not Yet Assigned

G&C 30794.104-US-WO

Filed: Title:

Docket: June 9, 2006 NON-POLAR (AL,B,IN,GA)N QUANTUM WELLS

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GATES & COOPER LLP

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Name: George H. Gates

Reg. No.: 33,500

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Applicant:

03:15PM

Michael D. Craven et al.

Examiner:

Not Yet Assigned Not Yet Assigned

T-171

P.004

Serial No.:

10/582,390

FROM-Gates & Cooper LLP

Group Art Unit

Filed:

10-11-2006

June 9, 2006

Docket:

G&C 30794.104-US-WO

Title:

NON-POLAR (AL,B,IN,GA)N QUANTUM WELLS

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SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT (37 C.F.R. §1.97(c))**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in International Search Report.

This statement should be considered because it is submitted less than three months after the mailing date of a Communication from a foreign patent office in which the aforementioned items of information were cited, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

OCT 112008

Certification Under 37 C.F.R. §1.97(c)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

(310) 641-8797

Date: October 11, 2006

GHG/sjm

George H. Gates

Reg. No.: 33,500

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Date Mailed: October 11, 2006

Sheet 1 of 1

Form 1449*	Docket Number: G&C 30794.104-US-WO Application Number: 10/582,390			
INFORMATION DISCLOSURE STATEMENT	Applicant Michael D. Craven et al.			
IN AN APPLICATION	Filing Date: June 9, 2006	Group Art Unit: Not Yet Assigned		

EXAMINER	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		DATE II
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	NON-PA	TENT DOCUMENTS	(Including Author, Title, Da	ite, Pertinent Paj	ges, Lite.)		
	Nichizuk	a. K. "Efficient Radia	give Recombination From	<1122>-oric	nted InxGa1-x	N Multiple	:
	Quantun	n Wells Fabricated by	the Regrowth Technique,	" Applied Phy	sics Letters, O	crober 200	4, Vol.
		15, pp. 3122-3124, abs					<u></u>
	Shacma,	R., "Demonstration of	f a Semipolar (1013) InGa	N/GaN Green	a Light Emitti	ng Diode,"	Applied
	Physics I	etters, November 200	5, Vol. 87, 231110, pp. 1-	3, abstract.			

HXAMINER:	DATE CONSIDERED:				
FXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in					
conformance and not considered. Include copy of this form for next communication to the Applicant.					

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G&C 30794.10+US-WO

^{*}Substitute Disclosure Statement Form (PTO-1449)